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INFORMATION DISCLOSURE
FEB 2 8 275 STATEMENT BY APPLICANT
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Complete if Known				
Application Number	10/804,295			
Filing Date	March 19, 2004			
First Named Inventor	Steven P. Tanis			
Art Unit	1621			
Examiner Name	TBA NAZARIO			
Attorney Docket Number	PC27977A			

U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	Cite No. 1	DOCUMENT NUMBER	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup>			
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EXAMINER INITIAL	Cite No. 1	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	WO 2004/014551	02-19-2004	Johnson Matthey PLC		
-	AB	WO 2004/085058	10-07-2004	Tanis, Steven P.		_
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Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
<b>*</b>	AC	NOYORI, R., et al., "Ruthenium(II)-Catalyzed Asymmetric Transfer Hydrogenation Of Ketones Using A Formic Acid-Triethylamine Mixture," 1996, J. Am. Chem. Soc., 2521-2522, vol. 118.	
do .	AD	NOYORI, R., et al., "The Catalyst Precursor, Catalyst, And Intermediate In The Rull-Promoted Asymmetric Hydrogen Transfer Between Alcohols And Ketones," 1997, Angew: Chem. Int. Ed. Engl., 285-288, vol. 36, no. 3.	

EXAMINER: Porjuis Margario Conzalez	DATE CONSIDERED: 6/8/05

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO.SB/08A (04-03) Approved for use through 04/30/03. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. Department of Commerce Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control Number. Substitute for form 1449/PTO Complete if Known 10/804,295 **Application Number** March 19, 2004 Filing Date INFORMATION DISCLOSURE First Named Inventor Steven P. Tanis STATEMENT BY APPLICANT **Art Unit** 1621 (Use as many sheets as necessary) **Examiner Name** TBA NAZARIO **Attorney Docket Number** PC27977A A TRA **U.S. PATENT DOCUMENTS** Name of Patentee or Applicant of **EXAMINER** Cite DOCUMENT **Publication Date** Pages, Columns, Lines, Where Relevant NUMBER INITIAL No. 1 MM-DD-YYYY **Cited Document** Passages or Relevant Figures Appear Number-Kind Code<sup>2</sup> FOREIGN PATENT DOCUMENTS

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		Cite Foreign Patent Document No. 1 Country Code <sup>3</sup> Number <sup>4</sup> Kind	Cite Foreign Patent Document Publication No. Country Code <sup>3</sup> Mumber <sup>4</sup> Kind Date	No. 1 Country Code Mumber Kind Date of Cited Document	Cite Foreign Patent Document Publication Name of Patentee or Applicant Pages, Columns, Lines, Where No. 1 Country Code Mumber Kind Date of Cited Document Relevant Passages or Relevant

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		NON PATENT LITERATURE DOCUMENTS	
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B	A	FUJII, et al., "Ruthenium(II)-Catalyzed Asymmetric Transfer Hydrogenation of Ketones Using a Formic Acid-Triethylamine Mixture," <i>Journal of American Chemical Society</i> , 1996, 2521-2522, Vol. 118.	
Jr.	В	MARCH, et al., "Aliphatic Nucleophilic Substitution," <u>Advanced Organic Chemistry: Reactions</u> , <u>Mechanisms</u> , and Structure, 1968, 251-375.	
J.	С	NOYORI, et al., "Asymmetric Transfer Hydrogenation Catalyzed by Chiral Ruthenium Complexes," <i>Accounts of Chemical Research</i> , 1997, 97-102, Vol. 30, No. 2.	
\$ -	D	PALMER, et al., "Asymmetric Transfer Hydrogenation of C=0 and C=N Bonds," <i>Tetrahedron: Asymmetry</i> , 1999, 2045-2061, Vol. 10.	
	Ε	VEDEJS, et al., "Substituted Isoquinolines by Noyori Transfer Hydrogenation: Enantioselective Synthesis of Chiral Diamines Contianing an Aniline Subunit," <i>Journal of Organic Chemistry</i> , 1999, 6724-6729, Vol. 64.	
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